

# Dinitz! Graphs! Coloring!

May 2020

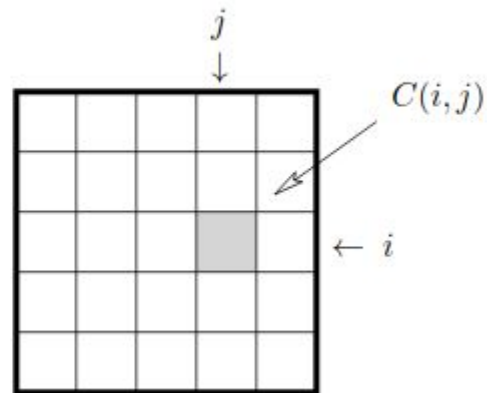


# The Dinitz Problem

raised by Jeff Dinitz in 1978, which defied all attacks until its astonishingly simple solution by Fred Galvin fifteen years later.

*Consider  $n^2$  cells arranged in an  $(n \times n)$ -square, and let  $(i, j)$  denote the cell in row  $i$  and column  $j$ . Suppose that for every cell  $(i, j)$  we are given a set  $C(i, j)$  of  $n$  colors.*

*Is it then always possible to color the whole array by picking for each cell  $(i, j)$  a color from its set  $C(i, j)$  such that the colors in each row and each column are distinct?*



Kind of like Sudoku where numbers are colors

## Trivial Cases for $n \times n$ grid

All color sets are different (e.g  $n^2$  nodes,  $n^2$  colors)

$$\forall x, x' : x \neq x', C(x) \cap C(x') = \emptyset$$

All color sets are the same and there are  $n$  colors (Latin Square)

1	2	3	4
4	1	2	3
3	4	1	2
2	3	4	1

Works with any arbitrary initial permutation

Can't do it with  $< n$  colors

## More colors is more difficult as one cannot start arbitrarily

{1, 2}	{2, 3}
{1, 3}	{2, 3}

1. Start with the first row being 1, 2
2. Forced to choose 3 for cell 1, 0
3. Choosing 2 or 3 for cell 1, 1 does not work

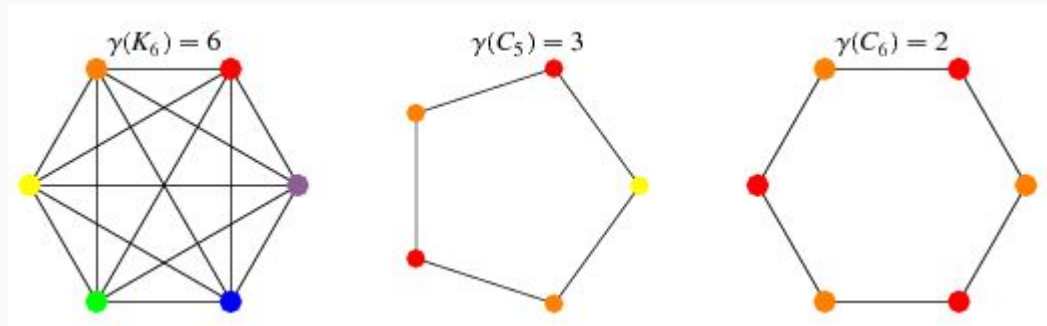
Smaller set of solutions if they even exist (I think)

# Undirected Graph Definitions: $G(V, E)$

$G(V, E)$  is a graph with a set of vertices/nodes ( $V$ ) and edges ( $E$ )

$X(G)$  is the *chromatic number* of  $G$ :

Smallest number of independent sets (set with vertices that do not share edges) that partition  $V$  (cover all of  $V$ )



E.g Smallest amount of colors needed to color the graph (ignore color sets for each vertex)

## Undirected Graph Definitions: $G(V, E)$

*List coloring is a mapping  $c: V \rightarrow \bigcup_{v \in V} C(v)$  such that  $c(v) \in C(v)$  and for all  $v, v'$  in  $E$ ,  $c(v) \neq c(v')$*

E.g Given each node has a color set, an assignment that picks from each node's color set such that adjacent nodes don't share the same color

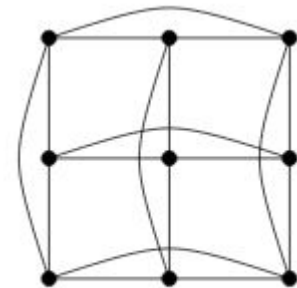
*$X_l(G)$  is the list chromatic number: smallest  $k$  s.t for all color sets of size  $k$  over  $V$  ( $C(v_1), \dots, C(v_N)$ ), there exists a list coloring*

Note that  $X_l(G) \geq X(G)$  since same-color set coloring is just a specific choice of color set.  $X_l(G)$  is the smallest  $k$  for all color sets (of size  $k$ ), including the super messed up ones

# Dinitz in Graph Language

$S_n$  is the grid with  $n$  rows/cols, squares as nodes and edges shared by nodes in the same row or column

$X_l(S_n) = n$ ? (Why can't this be less than  $n$ ?  $X(S_n) = n$ )

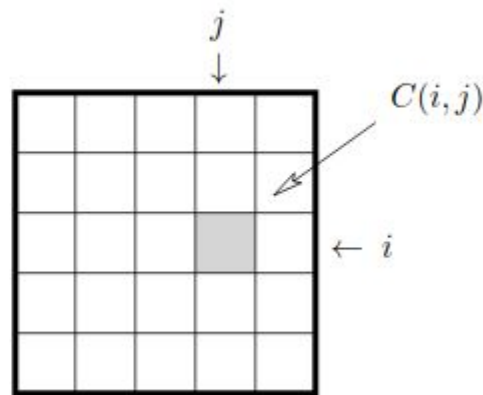


The graph  $S_3$

raised by Jeff Dinitz in 1978, which defied all attacks until its astonishingly simple solution by Fred Galvin fifteen years later.

*Consider  $n^2$  cells arranged in an  $(n \times n)$ -square, and let  $(i, j)$  denote the cell in row  $i$  and column  $j$ . Suppose that for every cell  $(i, j)$  we are given a set  $C(i, j)$  of  $n$  colors.*

*Is it then always possible to color the whole array by picking for each cell  $(i, j)$  a color from its set  $C(i, j)$  such that the colors in each row and each column are distinct?*



## Subgraphs, Directed Graphs notation

Subgraph: If  $A$  is a subset of  $V$ ,  $G_A$  is the subgraph formed from  $A$  and all the edges from  $G$  containing  $A$

$H$  is an induced subgraph of  $G$  if there exists  $A$ , a subset of  $V$  and  $H = G_A$

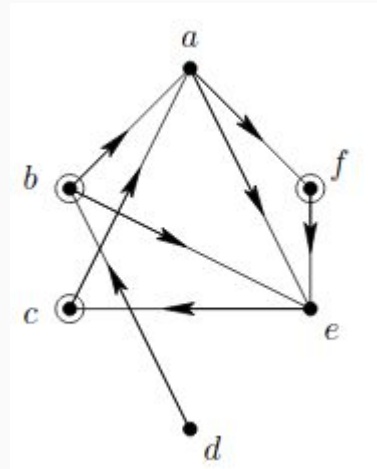
$\mathbf{G}(V, E)$  (i.e **BOLD**  $G$ ) is a directed graph, edges have direction.

$d^+(v)$  is the outdegree,  $d^-(v)$  is the indegree and  $d^+(v) + d^-(v) = d(v)$

$K$ , a subset of  $V$ , is a kernel if:

i)  $K$  is independent in  $G$  (not  $\mathbf{G}$ )

ii) for all  $u \notin K$ , there exists a  $v$  in  $K$  s.t  $u \rightarrow v$





## Lemma 1 Proof

**Lemma 1.** *Let  $\vec{G} = (V, E)$  be a directed graph, and suppose that for each vertex  $v \in V$  we have a color set  $C(v)$  that is larger than the outdegree,  $|C(v)| \geq d^+(v) + 1$ . If every induced subgraph of  $\vec{G}$  possesses a kernel, then there exists a list coloring of  $G$  with a color from  $C(v)$  for each  $v$ .*

Why will this be useful? (Dinitz to Lemma 1 took 14 yrs, Lemma 1 to end took 1 yr)

- we have  $S_n$  which is undirected so to use this we'll need to convert  $S_n$  into a directed graph (find a direction for the edges, an orientation)
- with “enough” colors, there exists a list coloring
- after Lemma 1, we still need to
- find an orientation such that  $d^+(v) \leq n - 1$  (create the directed version  $\mathbf{S}_n$ )
- show every induced subgraph of  $\mathbf{S}_n$  has a kernel

# Lemma 1 Proof

**Lemma 1.** *Let  $\vec{G} = (V, E)$  be a directed graph, and suppose that for each vertex  $v \in V$  we have a color set  $C(v)$  that is larger than the outdegree,  $|C(v)| \geq d^+(v) + 1$ . If every induced subgraph of  $\vec{G}$  possesses a kernel, then there exists a list coloring of  $G$  with a color from  $C(v)$  for each  $v$ .*

Backwards induction?

If  $|V| = 1$ , nothing to prove, so assume  $|V| > 1$ .

## Start of loop

Choose an arbitrary color from the union of all color sets,  $c$ . Form the set of nodes with  $c$  in their respective color sets,  $A(c)$ .

Induce the subgraph on  $A(c)$  from  $G \rightarrow G_{A(c)}$ . By hypothesis, there exists a kernel  $K(c)$  on that subgraph. Color all the nodes in  $K(c)$  by  $c$  (they are independent so not adjacent).

Create a new graph/induce a subgraph,  $G'$ , from  $V \setminus K(c)$  with new color sets  $C'(v) = C(v) \setminus \{c\}$ .

## End loop

The condition  $|C'(v)| \geq d^+(v) + 1$  still holds for  $v$  in  $A(c) \setminus K(c)$  since we deleted at least one of their old kernel neighbors and a single color (RHS decreases more than LHS). For  $v \notin A(c)$ , their color sets stay the same and their outdegree weakly decreases so the condition still holds for them as well. Note that  $|G'| < |G|$ , so we're done.

# Where are we?

**Lemma 1.** *Let  $\vec{G} = (V, E)$  be a directed graph, and suppose that for each vertex  $v \in V$  we have a color set  $C(v)$  that is larger than the outdegree,  $|C(v)| \geq d^+(v) + 1$ . If every induced subgraph of  $\vec{G}$  possesses a kernel, then there exists a list coloring of  $G$  with a color from  $C(v)$  for each  $v$ .*

We are done if:

- We find an orientation for  $S_n$  (convert  $S_n$  into the directed version  $\mathbf{S}_n$ ) that also satisfies the outdegree condition ( $n \geq d^+(v) + 1$ ) AND
- we prove that every induced subgraph of  $\mathbf{S}_n$  possesses a kernel

Note: last line of Lemma 1 is there exists a list coloring of the undirected graph.

# Detour into Bipartite Graphs

$G = (X \cup Y, E)$ , where edges connect  $x$  and  $y$ , not  $x$  and  $x'$  nor  $y$  and  $y'$

Intuition:  $X$  is the set of Men and  $Y$  is the set of Women and an edge is a pairing of a man and woman

A *matching* is a bipartite graph where none of the edges share an end vertex. E.g a set of marriages where no bigamy (man has multiple wives or woman has multiple husbands).

Adding preferences...suppose each node,  $v$  (in  $X$  or  $Y$ ) has an ordering their adjacent nodes,  $N(v) = \{z_1 > z_2 > \dots > z_{d(v)}\}$

A *stable matching* is a matching where no 2 nodes are each better off by forming another marriage.

I.e for all  $uv$  in  $E \setminus M$  (a feasible match between  $u$  in  $X$  and  $v$  in  $Y$ ), either  $uy$  in  $M$  and  $y > v$  in  $N(u)$  ( $u$  is matched with someone they prefer) or  $xv$  in  $M$  and  $x > u$  in  $N(v)$  ( $v$  is matched with someone they prefer)

# Stable Matching Always Exists

Proof:

Set  $R = X$  and all strings for females are empty

Loop until  $R$  is empty

1. All men,  $u$  in  $R$ , propose to their *current* top choice (no going backwards)
2. If a girl receives more than one proposal, she chooses the top pick among the current proposals and puts him on a "string"
3. Men rejected with no options left die, all the other rejected men go into the reservoir  $R$  (update  $R$ )
4. Repeat

This terminates as each loop has some men go strictly forward through their list of choices. The is stable because

Suppose  $uv$  in  $E$  but  $uv$  not in  $M$ . Either:

Case 1:  $u$  never proposed to  $v$

- he stopped before getting to  $v$  in favor of someone else (exists  $y$  in  $Y$  s.t  $uy$  in  $M$  with  $y > v$  in  $N(u)$ )

Case 2:  $u$  did propose to  $v$

-  $v$  rejected the proposal in favor of someone else (exists  $x$  in  $X$  s.t  $xv$  in  $M$  with  $x > v$  in  $N(v)$ )

# Dinitz Proof: Lemma 1 + Lemma 2

Step 1: Find an orientation such that  $d^+(i, j) \leq n - 1$

Step 2: For this new directed graph, show that every induced subgraph has a kernel

Setup:

Vertices are denoted  $i, j$  (row  $i$ , col  $j$ ). Therefore  $(i, j)$  and  $(r, s)$  are adjacent if  $i = r$  or  $j = s$ .

Step 1:

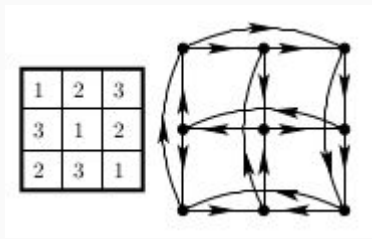
Setup a Latin square.

For each of the rows, a node  $u$  has an edge to  $v$  in the row if  $L(u) < L(v)$  (this sets up horizontal edges)

For each of the columns, a node  $u$  has an edge to  $v$  in the col if  $L(u) > L(v)$  (this sets up vertical edges)

Note that a given node has  $n - 1$  other nodes in the same row and  $n - 1$  other nodes in the same col, so  $2n - 2$ . Because the rows and columns point in  $<, >$  fashion, each node has outdegree of  $n - 1$  (half of  $2n - 2$ ).

Therefore  $d^+(i, j) \leq n - 1$  holds!



# Dinitz Proof: Lemma 1 + Lemma 2

Step 2: For this new directed graph, show that every induced subgraph has a kernel

Take some subset of the nodes  $A$  (e.g.  $\{(1, 1), (1, 2), (4, 3)\}$ )

Let  $X$  be the set of rows and  $Y$  be the set of columns e.g.  $X = \{1, \dots, n\}$  and  $Y = \{1, \dots, n\}$

Create the bipartite graph  $G = (X \cup Y, A)$  ( $A$  denotes connection between rows and cols)

Use the orientation (directedness of edges) to create preferences ala Marriage (men are rows and women are columns).  
 $j > j'$  in  $N(i)$  if  $(i, j) \rightarrow (i, j')$  in  $\mathbf{S}_n$ . Similarly  $i > i'$  in  $N(j)$  if  $(i, j) \rightarrow (i', j)$  in  $\mathbf{S}_n$ .

Lemma 2 says there exists a stable matching,  $M$ .  $M$ , a subset of  $A$ , is the kernel! Why?

- 1)  $M$  is independent since it is a matching (i.e. no common endpoints in  $M$ /row  $j$  only appears once if at all)
- 2) Take an edge outside the kernel,  $(i, j)$  in  $A \setminus M$ , by stable matching either
  - a) There exists  $j'$  s.t.  $(i, j')$  in  $M$  and  $j' > j$  which means  $(i, j) \rightarrow (i, j')$  in  $M$  (because preferences were constructed from the directedness of  $\mathbf{S}_n$ ).
  - b) There exists  $i'$  s.t.  $(i', j)$  in  $M$  and  $i' > i$  which means  $(i, j) \rightarrow (i', j)$  in  $M$